

Year End Results for FY10 Trimester Goals

Color Key: T1 T2 T3

Strategic Plan 2010-2013 Focus Areas	Trimester Priorities	T1	T2	T3	Comments
<b>Preserve DNS Security and Stability</b>	<ul style="list-style-type: none"> <li>• Root Key Signing Key (KSK) - Implement production-level root signing of KSK processes</li> </ul>	Complete			
	<ul style="list-style-type: none"> <li>• Generic Signing Infrastructure - Implement generic signing infrastructure and sign (at least) icann.org</li> </ul>		Complete		
	<ul style="list-style-type: none"> <li>• ARPA infrastructure - Complete the .ARPA signing infrastructure</li> </ul>			Mostly Complete	Still Pending
	<ul style="list-style-type: none"> <li>• Business Continuity/Disaster Recovery - Phase 1 - Design and deploy redundant network with access layer and a collapsed distribution/core layer                             <ul style="list-style-type: none"> <li>○ Turn up at least one dual stack Border Gateway Protocol (BGP) transit provider and one L2/ Multiprotocol Label Switching (MPLS) link to LA3 (data center)</li> <li>○ Deploy (Virtual Machine) VMWare cluster in DC, Colorado, replica of LA3 (data center)</li> <li>○ Design and deploy data replication for High Availability/Disaster Recovery (HA/DR) VMWare server</li> <li>○ Design and deploy SAN LUNs (Storage Area Network Logical Unit Numbers) for dedicated volumes and setup replication</li> </ul> </li> </ul>	Complete			
	<ul style="list-style-type: none"> <li>• Achieve Domain Name System Security Extensions (DNSSEC) milestones; first signed zone file in 2010. Security and process auditing of ICANN's signing infrastructure.</li> </ul>		Complete		
	<ul style="list-style-type: none"> <li>• Ensure security and continuity programs enables certification to globally accepted standards by end of Calendar 2010 (Includes IANA failover test, plans and implementation)</li> </ul>		Complete		
	<ul style="list-style-type: none"> <li>• Complete ICANN's implementation of DNSSEC infrastructure and processes to be prepared for root zone signing.</li> </ul>			Complete	
	<ul style="list-style-type: none"> <li>• Attain closure on Stability, Security &amp; Resiliency (SSR) initiatives and plan for FY11, including approach to Domain Name System - Computer Emergency Response Team (DNS-CERT).</li> </ul>			Complete	

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<b>Promote Competition, Trust, Choice, and Innovation</b>	<ul style="list-style-type: none"> <li>• Governmental Advisory Committee (GAC) Site Migration Implement requested changes                             <ul style="list-style-type: none"> <li>○ Obtain GAC secretariat final approval</li> <li>○ Deploy GAC site into production environment</li> </ul> </li> </ul>			Complete	
	<ul style="list-style-type: none"> <li>• Regbill (Registrar billing) Enhancement- Develop and Deploy changes to accommodate new requirements driven by new Registrar Accreditation Agreement (RAA) agreement</li> </ul>	Complete			
	<ul style="list-style-type: none"> <li>• Publish Semi-Annual Contractual Compliance Report by the end of September and next quarterly edition of the newsletter in early October.</li> <li>• Draft Charter for Contractual Compliance Advisory Group. Advisory Group would recommend specific actions the Contractual Compliance Team should take to enhance the Compliance Program, enforce contracts and build a “culture of compliance”.</li> <li>• Begin recruiting volunteer members from ICANN constituencies, law enforcement, auditing organizations, as well as experts in combating online fraud, cybercrime, malicious abuse, counterfeiting, and similar misconduct.</li> <li>• Publish results of WHOIS Data Problem Report System (WDPRS) work and availability of enhanced system in the September 2009 edition of the Semi-Annual Contractual Compliance Report. Updated information would be used by consumers, domain name registrants, anti-phishing groups, anti-fraud organizations, and civil and criminal law enforcement agencies.</li> </ul>			Complete	Completed all priorities in T3 with exception of Advisory Group
	<ul style="list-style-type: none"> <li>• Whois Data Accuracy Study National Opinion Research Center (NORC) collects and analyzes Whois name and postal address data from sample domains.</li> </ul> <p>NORC revises preliminary findings based on feedback from ICANN management and presents preliminary findings to the community at a workshop held during Seoul meeting.</p>	Complete			
	<ul style="list-style-type: none"> <li>• Privacy/Proxy Registration Services Study - Revise draft report and post it on web site prior to Seoul meeting for public comment.</li> </ul>	Complete			

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	<ul style="list-style-type: none"> <li>• New gTLD Communications Global Outreach- Produce global consultation and outreach events in NYC, London, Hong Kong, Abu Dhabi and Latin America</li> </ul>	Complete			
	<ul style="list-style-type: none"> <li>• ccNSO IDN Policy Development Process – Cross Constituency Working Group - Objective and Key Benchmarks: Manage and support WG efforts. Assist in and manage drafting process of topic paper describing the scope of issues the overall policy on IDN ccTLDs need to cover. To be completed and discussed in Seoul.</li> </ul>	Complete			
	<ul style="list-style-type: none"> <li>• GNSO Constituencies - Produce analysis and recommendation regarding four new GNSO Constituency Proposals for Board. Produce analysis and recommendation regarding six existing GNSO Constituency re-confirmation submissions to Board</li> </ul>	Complete			
	<ul style="list-style-type: none"> <li>• New gTLD Program –               <ul style="list-style-type: none"> <li>○ Post Public Comments on Implementation Recommendation Team (IRT), Applicant Guidebook (AGB) Version 2 Excerpts, and explanatory memos by August.</li> <li>○ Resolve issues (Trademark and Malicious Conduct) with regards to suggesting implementable solutions and post AGB Version 3 by September</li> <li>○ TLD Application System (TAS) - Develop for Admin, Initial Evaluation updated Business and Technical Requirements, continue coding applications Systems and test.</li> <li>○ Evaluation panels - Review the 10 Expressions Of Interest (EOI) candidates, reopen EOI through Sept 15 and develop larger list</li> </ul> </li> </ul>	Complete			
	<ul style="list-style-type: none"> <li>• Achieve adoption of 2009 RAA by at least 75% (by names under management) of registrars with an effective date prior to the end of FY10 Q1.</li> </ul>	Complete			
	<ul style="list-style-type: none"> <li>• Commence Registrar Data Escrow Audits - In conjunction with Iron Mountain, commence the audits according to the schedule established in FY09 T3 and transition process to Compliance for ongoing audit management. Report internally on successes and lessons learned from implementation of Registrar Data Escrow (RDE) auditing.</li> </ul>	Complete			

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	<ul style="list-style-type: none"> <li>Registrar Budget Fee Approval Process - Complete the approval process of the FY10 registrar fee schedule by end of FY10 Q1.</li> </ul>	Complete			
	<ul style="list-style-type: none"> <li>Communicate 2009 RAA Obligations - Prepare communications about new obligations created by 2009 RAA:                             <ul style="list-style-type: none"> <li>Prepare report for Board detailing plans for implementation or management of the various obligations created by the new form RAA</li> <li>Prepare an Advisory or alternative communication as appropriate for registrars detailing obligations they will have under the new form RAA</li> </ul> </li> </ul>	Complete			
	<ul style="list-style-type: none"> <li>.POST Sponsorship Agreement - Make determination on whether or not to enter into a Sponsorship Agreement with the United Postal Union (UPU).</li> </ul>	Complete			
	<ul style="list-style-type: none"> <li>New gTLD Support — Malicious Conduct Remediation                             <ul style="list-style-type: none"> <li>Support overall outreach and ensure engagement by key stakeholder groups including Anti-Phishing Working Group (APWG), Registry Internet Safety Group (RISG), Banking/Finance and Community Emergency Response Team (CERT) communities.</li> <li>Ensure coordination with efforts on-going within GNSO.</li> <li>Conduct cross-staff coordination to ensure establishment of acceptable measures to address identified concerns for inclusion in next version of Applicant Guidebook and other new gTLD processes.</li> </ul> </li> </ul>	Complete			
	<ul style="list-style-type: none"> <li>Define and publish Expression of Interest (EOI) process or equivalent                             <ul style="list-style-type: none"> <li>Define path forward for all new gTLD open issues                                     <ol style="list-style-type: none"> <li>Take phase one delivery of economic study</li> <li>Seek final position on Vertical Separation</li> <li>Propose final rights protection position</li> <li>Develop revised project plan</li> </ol> </li> </ul> </li> </ul>			Complete	
	<ul style="list-style-type: none"> <li>Execute IDN Fast Track program with efficiency and with timely execution, launching 16 November. Provide good tracking, cross-dept. coordination, and reporting.</li> </ul>		Complete		
	<ul style="list-style-type: none"> <li>Publish Draft Applicant Guidebook 4                             <ul style="list-style-type: none"> <li>Publish related economic studies Part 1 and 2</li> <li>Close the following issues: Trademark protection, malicious conduct, 3-character, variant "allocation".</li> </ul> </li> </ul>			Mostly Complete	Completed Part 1, and published final report; Part 2 economic studies will be complete in FY11. Overarching issues continue to be focused on in FY11

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	<ul style="list-style-type: none"> <li>Complete Synchronized Strings implementation plan, and approve first synchronized string TLDs.</li> </ul>			Complete	
	<ul style="list-style-type: none"> <li>Delegate first IDN ccTLDs.</li> </ul>			Complete	
<b>Excel in IANA and other Core Operation</b>	<ul style="list-style-type: none"> <li>Auditing                             <ul style="list-style-type: none"> <li>Deliver clean audit of FY09 Financials Seoul</li> <li>Report to audit committee analyses of proposals for auditors for FY10 Seoul</li> <li>Report to audit committee evaluation of internal auditor/best practices roles Seoul</li> </ul> </li> </ul>	Complete			
	<ul style="list-style-type: none"> <li>Financial Reporting Framework                             <ul style="list-style-type: none"> <li>Establish format for standard periodic financial reports for executive review (title, media, owner, process) 30 August</li> <li>Upgrade monthly forecast update for executive review by 15th each month</li> <li>Obtain Board Finance Committee approval of reporting package, incl next "Expense Area Group" (EAG)</li> <li>Full reporting packages Seoul</li> </ul> </li> </ul>	Complete			
	<ul style="list-style-type: none"> <li>IANA Continuity Planning and Security Planning - Complete continuity plan and begin preparing 2010 security plan</li> </ul>	Mostly Complete			Carry over to T1 of FY11
	<ul style="list-style-type: none"> <li>Continue supporting implementation of ccIDNs and new gTLDs - Provide support to the Root Server System Advisory Committee/ Security and Stability Advisory Committee (RSSAC/SSAC) root zone scaling study. Ensure root zone management tools will support IDNs fully or identify how they will be brought to that point.</li> </ul>	Complete			
	<ul style="list-style-type: none"> <li>IANA Business Excellence -- Exceeding Expectation - IANA Business Excellence (BE) is a multi-year endeavor.</li> </ul>	Complete			
	<ul style="list-style-type: none"> <li>Pre-Evaluation Process for Fast Track Process - - plan and enable ICANN to receive pre-evaluation requests from certain countries/territories.                             <ul style="list-style-type: none"> <li>make a decision on which countries/territories can participate</li> <li>conduct the pre-evaluations</li> </ul> </li> </ul>	Complete			

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	<ul style="list-style-type: none"> <li>IDNA protocol revision - Continue working with the Internet Engineering Task Force (IETF) to finalize the revision of the IDNA protocol. If the protocol revision is not final within this trimester, then add the necessary technical requirements to the Fast Track String Requirements in order to enable a stable introduction of IDN ccTLDs (unless management decides to delay the launch). This also includes deciding how to inform participants on how to generate their A-labels.</li> </ul>	Complete			
	<ul style="list-style-type: none"> <li>Korea IDN activities - Plan and conduct creative IDN activities for the Korea meeting</li> </ul>	Complete			
	<ul style="list-style-type: none"> <li>ccNSO IDN PDP - Support the ccNSO in the IDN ccTLD policy development for long term</li> </ul>	Complete			
	<ul style="list-style-type: none"> <li>New gTLD support – Root Scaling Study - Provide continued staff support to SSAC/RSSAC study, to include contracted analysis efforts. Work with SSAC and RSSAC to present study findings to the community. Work closely with SSAC/RSSAC and ICANN staff (IANA) to proactively address identified concerns as study progresses to ensure establishment of acceptable measures to address identified concerns in establishing new gTLD processes.</li> </ul>	Complete			
	<ul style="list-style-type: none"> <li>Risk Management - Working with COO and CFO, ensure the conduct and completion of a contractor-supported ICANN risk assessment as directed by Board Risk Management Committee. Ensure assessment includes key IT, physical and personnel security risks. Address risk assessment approach at September board retreat. Ensure risk assessment findings include actionable analysis of mitigation options for use in FY 2011 operating plan and budgeting decisions. Brief assessment results to Board Risk Committee at the Seoul meeting</li> </ul>	Complete			
	<ul style="list-style-type: none"> <li>Better define and execute overall IANA excellence project: (i.e. consider continuity plans, DNSSEC launch, Root Zone Management (RZM) launch)</li> </ul>		Mostly Complete		Conducted self assessment in January 2010
	<ul style="list-style-type: none"> <li>Continue IANA initiatives related to Business Excellence: clear processes and procedures, continuity plans, RZM launch, and DNSSEC work.</li> </ul>			Mostly Complete	Reassessing goals for FY11

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	<ul style="list-style-type: none"> <li>Strengthen ICANN corporate security &amp; business continuity programs as part of Affirmation review preparation/IANA contract renewal</li> </ul>			Mostly Complete	Shared progress with Affirmation Working Group in Brussels
	<ul style="list-style-type: none"> <li>Budget                             <ul style="list-style-type: none"> <li>Complete FY11 operating plan and budget, with approval in June</li> <li>Carefully manage remaining FY10 resources to hit or come close to original expense plan</li> <li>New gTLD budget</li> </ul> </li> </ul>			Mostly Complete	FY11 Ops Plan and Budget approved and posted  FY10 audit in works
Contribute to Shaping a Healthy Internet Eco-system	<ul style="list-style-type: none"> <li>Joint Partnership Agreement (JPA) conclusion                             <ul style="list-style-type: none"> <li>Write a joint report with Department of Commerce (DoC) on the achievements under the Memorandum of Understanding Process</li> <li>Work with DoC to complete a document that replaces the JPA but commits the USG to the model and has a number of reviewers of ICANN's commitments, i.e. not solely the United States Government (USG)</li> </ul> </li> </ul>	Complete			
	<ul style="list-style-type: none"> <li>Global Partnerships to support the GAC Support Initiative –                             <ul style="list-style-type: none"> <li>Developing countries support program - Design support program process to reflect inclusion in Constituency Travel Support program, experiences from Sydney, and internal GAC protocol requests. Implement process for Seoul meeting.</li> <li>GAC website and mailing lists support</li> </ul> </li> </ul>	Complete			
	<ul style="list-style-type: none"> <li>Create a work plan for the Affirmation of Commitments (A of C).</li> </ul>		Complete		
	<ul style="list-style-type: none"> <li>Identify and execute on transparency/accountability mechanisms recommended by President's Strategy Committee (PSC) and others for implementation in Calendar 2010. Consider other elements (such as security plans) that will need to be implemented over the next year to be ready for reviews.</li> </ul>				
	<ul style="list-style-type: none"> <li>By the end of 2009, reach Board consensus and post for community feedback an approach to the A of C reviews, and specifically the accountability and transparency review to take place in Calendar 2010. Launch the accountability/transparency review by Nairobi or sooner.</li> </ul>		Complete		

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	<ul style="list-style-type: none"> <li>Continue to enhance and advance transparency and accountability and mechanisms.</li> </ul>			Complete	Some definition change during period
	<ul style="list-style-type: none"> <li>Pilot a mechanism for work priority planning in the GNSO</li> </ul>			Complete	
	<ul style="list-style-type: none"> <li>Whois – develop integrated internal strategy for Brussels meeting.</li> </ul>			Complete	